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DOCKET NO.: M1103.70168US00
Client Ref.: MS305234.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tin Qian and Alexandru Gavrilesco
Serial No.: 10/740,748
Filed: December 19, 2003
For: OBJECT MODEL FOR MANAGING FIREWALL SERVICES

Examiner: Unassigned
Art Unit: 2134 Confirmation No.: 4932

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

Transmitted herewith for filing is/are the following document(s):

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 With Other Reference
- ☒ Return Post Card

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617) 646-8000, Boston, Massachusetts.

No check is enclosed. If it is determined that a fee is necessary, the fee may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 20, 2005.

Attorney Docket No.: M1103.70178US00
XNDD

Respectfully submitted,

Tin Qian et al., Applicant

By:

James H. Morris
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MAIL STOP AMENDMENT

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P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant would like to bring to the Examiner's attention the enclosed Partial European Search Report and the European Search Report from corresponding European Application No. 04026873.

As shown in the patent family search attached to the search report, U.S. Patent No. 6,708,187 and 6,678,835 correspond to document WO 0078004, U.S. Published Application No. 2002138738 corresponds to document WO 02078240 and U.S. Published Application No. 2002157023 corresponds to document WO 02080457, cited in the European Search Report.

REMARKS

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Serial No.: 10/740,748
Conf. No.: 4932

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Art Unit: 2134

An early and favorable action is hereby requested.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

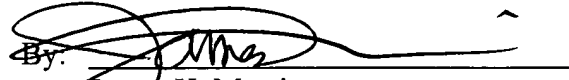
I hereby certify that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 20, 2005.



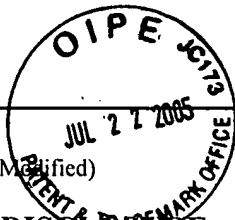
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FORM PTO-1449/A and B (Modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet	1	of	1
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APPLICATION NO.:	10/740,748	ATTY. DOCKET NO.:	M1103.70168US00
FILING DATE:	December 19, 2003	CONFIRMATION NO.:	4932
APPLICANT:	Tin Qian and Alexandru Gavrilescu		
GROUP ART UNIT:	2134	EXAMINER:	Unassigned

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		6,708,187	B1	Shanumgam et al.	03/16/2004
		6,678,835	B1	Shah et al.	01/13/2004
		2002138726	A1	Sames et al.	09/26/2002
		2002138738	A1	Sames et al.	09/26/2002
		2003110192	A1	Valente et al.	06/12/2003
		2002157023	A1	Callahan et al.	10/24/2002

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
		Partial European Search Report and the European Search Report from corresponding European Application No. 04026873.		
		HUNT, R., <u>Internet/Intranet Firewall Security-Policy, Architecture and Transaction Services</u> , Computer Communications, Butterworths & Co. Publishers Ltd, GB, vol. 21, no. 13, Sept. 1, 1998, Pages 1107-1123, XP004146571		

EXAMINER:

DATE CONSIDERED:

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]